



*ITW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **OJIMA, Naoto, et al.**

Attention: **Applications Division**

Serial Number: **10/589,746**

Group Art Unit: **Unknown**

Filed: **April 30, 2007**

P.T.O. Confirmation No.: **9503**

For: **NICOTINE-REDUCING AGENT AND NICOTINE REDUCTION METHOD**

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: December 21, 2007

Sir:

Please supply the undersigned attorney with a second corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the corrected official Filing Receipt, we noted errors in that the **371(c) date is incorrect and should read -- 4/18/2007 -- and the title is incorrect and should read -- NICOTINE REDUCING AGENT AND NICOTINE REDUCTION METHOD --**. Copies of the **postcard receipt dated April 18, 2007 and Declaration** are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

  
Donald W. Hanson

Attorney for Applicants

Reg. No. 27,133

DWH/mma

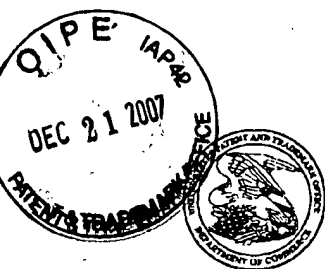
Atty. Docket No. **060622**  
Suite 400  
1420 K Street, N.W.  
Washington, D.C. 20005  
(202) 659-2930



**23850**

PATENT & TRADEMARK OFFICE

Enclosures: Official Filing Receipt, Postcard Receipt date April 18, 2007, and Declaration

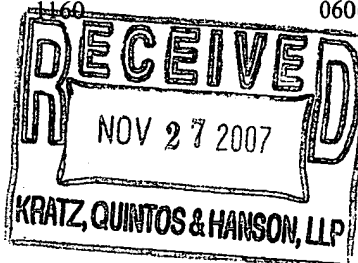


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/589,746	04/30/2007		1160	060622	14	3

23850  
KRATZ, QUINTOS & HANSON, LLP  
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Suite 400  
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CONFIRMATION NO. 9503  
CORRECTED FILING RECEIPT



Date Mailed: 11/26/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

**Applicant(s)**

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Atsushi Kozakai, Osaka, JAPAN;  
Hisakatsu Iwabuchi, Osaka, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 23850

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP05/02649 02/18/2005

**Foreign Applications**

JAPAN 2004-043610 02/19/2004  
JAPAN 2004-136448 04/30/2004

**If Required, Foreign Filing License Granted:** 08/30/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/589,746**

**Projected Publication Date:** 01/17/2008

**Non-Publication Request:** No

**Early Publication Request:** No

11/28/07

Title

Nicotine-Reducing Agent and Nicotine Reduction Method

Nicotine-Reducing Agent And Nicotine Reducing Method

Preliminary Class

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## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

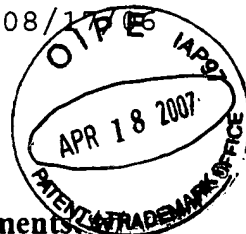
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CARD NO: 24942

U.S. Patent Application      Docket No: 060622  
Serial No: 10/589,746      Filed: 08/17/06  
Patent Number:      Issued:  
Applicant(s): OJIMA, Naoto, et al.

Papers filed herewith on: 04/18/07



**Other: Response to Notification of Missing Requirements,  
Declaration, Notification, the verified English translation of  
the Application, Drawings, 3 sheets (Figures 1-8) and  
statement of verified translation**

COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

DWH/RMP

# DECLARATION FOR U.S. PATENT APPLICATION



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NICOTINE-REDUCING AGENT AND NICOTINE REDUCTION METHOD**

the specification of which is attached hereto unless the following is checked

☒ was filed on February 18, 2005 as United States Application Number or PCT International

Application Number PCT/JP2005/002649 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

## Priority Claimed

(List prior foreign applications. See note A)

<u>2004-43610</u> (Number)	<u>Japan</u> (Country)	<u>19/02/2004</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2004-136448</u> (Number)	<u>Japan</u> (Country)	<u>30/04/2004</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

(See note B)

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

## Status

(List prior U.S. Applications)

<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned